

INTERSECTION NUMBERS OF GEODESIC CURVES IN A SURFACE

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ABSTRACT. For a compact surface X with negative curvature we show that the tails of the distribution $i(\alpha, \beta)/l(\alpha)l(\beta)$ are bounded by a decreasing exponential function (here, α and β are closed geodesics of X , $i(\alpha, \beta)$ denotes their intersection number and $l(\cdot)$ is the hyperbolic length function of X .) As a consequence we find the normalized average of the intersection numbers of pairs of closed geodesics. In addition, we prove that the size of the set of geodesics of length T whose self-intersection number is not close to $T^2/(2\pi^2(g-1))$ decrease also exponentially fast as $T \rightarrow \infty$, where g is the genus of X . As a corollary, we obtain a result of S. Lalley which states that most closed geodesics of length T have roughly $T^2/(2\pi^2(g-1))$ self-intersections, for T large.

1. INTRODUCTION

. Let X be a compact hyperbolic surface, \mathcal{G} be the set of closed geodesics of X , \mathcal{G}_t be the subset of \mathcal{G} consisting of the geodesics whose length is at most t and $N(t)$ be the number of elements of \mathcal{G}_t . It is a classical result of G. Margulis (see [11, §6, Theorem 5]) that the number $N(t)$ satisfies the asymptotic formula $N(t) \sim e^t/t$, i.e., the ratio of the two sides converges to one, as $t \rightarrow \infty$.

. For a pair of geodesics α and β of X we denote by $i(\alpha, \beta)$ the *(geometric) intersection number of α and β* , that is, the number of points of intersection of α and β . In particular, $i(\gamma) := i(\gamma, \gamma)$ is the number of self-intersections of the geodesic γ .

. These numbers have been of interest to many researchers and here are some of the most relevant results so far achieved. S. Lalley showed in [10, Theorem 1] that for T large enough, the number of self-intersections of most of the closed geodesics of length T is $T^2/(2\pi^2(g-1))$, where g is the genus of X . Later, M. Pollicot and R. Sharp generalized this result to self-intersections of closed geodesics with an angle in a given interval (see [13, Theorem 1].) And recently, M. Chas and S. Lalley in [8] proved that if a class of a geodesic is chosen at random from among all classes of m letters, then the distribution of the self-intersection numbers approaches the Gaussian distribution, for m “large enough”. Furthermore, M. Chas and S. Lalley also proved in [9] that for a certain constant $\kappa > 0$ the random variable $(N_T - \kappa T^2)/T$ has a limit distribution as $T \rightarrow \infty$, where N_T is the number of self-intersections of a closed geodesic of X of length $\leq T$ randomly chosen.

. In this paper we prove that the tails of the distribution $i(\alpha, \beta)/l(\alpha)l(\beta)$ are bounded by a decreasing exponential function.

Theorem 1. *Let $\epsilon > 0$. There exists $\eta > 0$ such that*

$$\frac{1}{N(s)N(t)} \# \left\{ (\alpha, \beta) \in \mathcal{G}_s \times \mathcal{G}_t : \left| \frac{i(\alpha, \beta)}{l(\alpha)l(\beta)} - \frac{1}{2\pi^2(g-1)} \right| > \epsilon \right\} = O(e^{-\eta \min\{s, t\}}),$$

as $s, t \rightarrow \infty$.

. Theorem 1 allows us to show that the normalized average of pairs of closed geodesics of lengths at most s and t is asymptotically equal to $1/(2\pi^2(g-1))$, as these lengths become arbitrarily large.

Theorem 2. $\frac{1}{N(s)N(t)} \sum_{(\alpha, \beta) \in \mathcal{G}_s \times \mathcal{G}_t} \frac{i(\alpha, \beta)}{l(\alpha)l(\beta)} \sim \frac{1}{2\pi^2(g-1)},$ as $s, t \rightarrow \infty$.

. In a similar way, we prove that the size (ν_L -measure) of the set of geodesics of length T whose self-intersection number is not close to $T^2/(2\pi^2(g-1))$ decrease also exponentially fast as $T \rightarrow \infty$. For a geodesic (not necessarily closed) γ , let denote by γ^T the restriction of γ to its first segment of length T .

Theorem 3. For every $\epsilon > 0$ there exists $\eta > 0$ such that

$$\nu_L(\{\mathbf{v} \in T_1(X) : |i(\gamma_{\mathbf{v}}^T)/T^2 - 1/(2\pi^2(g-1))| \geq \epsilon\}) = O(e^{-\eta T}).$$

. As a consequence we obtain the following result that was proven by Lalley in [10, Theorem 1].

Corollary 1 (Lalley). For every $\epsilon > 0$

$$\lim_{T \rightarrow \infty} \frac{1}{N(T)} \#\{\gamma \in \mathcal{G}_T : |i(\gamma)/T^2 - 1/(2\pi^2(g-1))| < \epsilon\} = 1.$$

. The outline of this paper is the following. Section 2 is the collection of definitions and results needed in the demonstrations of Theorems 1 and 2, and Section 3 contains the proofs of these theorems as well as the proofs of Theorem 3 and Corollary 1. For detailed explanation of all the concepts (or different approach) used in this article, please see [3], [4], [5] and [13].

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2. PRELIMINARIES

2.1. Tangent Bundles. Let $T_1(X) = \{(x, v) \mid x \in X, v \in T_x(X), \|v\| = 1\}$ be the *unit tangent bundle* of X , $\Phi = \{\phi^t\}$ be the *geodesic flow* of $T_1(X)$ and \mathcal{F} be the *foliation* of $T_1(X)$ by Φ -orbits. Note that the Φ -orbits are the geodesics of X . For $\mathbf{v} = (x, v) \in T_1(X)$, let $\gamma_{\mathbf{v}}$ denote the geodesic such that $\gamma_{\mathbf{v}}(0) = x$ and $\dot{\gamma}_{\mathbf{v}}(0) = v$.

. Consider $\mathcal{E} = \{(x, v, w) : (x, v), (x, w) \in T_1(X), v \neq w\}$. Let $p_1, p_2 : \mathcal{E} \rightarrow T_1(X)$ be defined by $p_1((x, v, w)) = (x, v)$ and $p_2((x, v, w)) = (x, w)$, respectively.

. Denote by $\mathcal{P} = \mathcal{P}(T_1(X))$ the set of the Φ -invariant probability measures in $T_1(X)$ equipped with the weak*-topology. Let $h(\mu)$ denote the *measure theoretic entropy* of Φ with respect to $\mu \in \mathcal{P}$ and $h := \max_{\mu \in \mathcal{P}} h(\mu)$ (please see [5, §4.3] for definitions). For a compact hyperbolic surface there is a unique Φ -invariant probability measure in $T_1(X)$ with maximum entropy $h = 1$, which is the normalized Riemannian measure also called the (*normalized*) *Liouville* measure, denoted by ν_L . In our case, this measure coincides with μ_{BM} , the Bowen-Margulis probability measure. (see [12, Proposition 10].)

. We will use the characterization of ν_L given in [5, Theorem 20.1.3]. For $\gamma \in \mathcal{G}$, let $\zeta_{\gamma} := \int_0^{l(\gamma)} \delta_{\phi^s \mathbf{v}} ds$, with $\mathbf{v} \in \gamma$ and δ_y denoting the probability measure with support $\{y\}$.

Theorem 4.

$$\nu_L = \mu_{BM} = \lim_{t \rightarrow \infty} \frac{1}{N(t)} \sum_{\gamma \in \mathcal{G}_t} \frac{\zeta_\gamma}{l(\gamma)}.$$

2.2. Current Measures and Intersection Form.

. For each Φ -invariant finite measure μ of $T_1(X)$ (not necessarily a probability measure) there exists an associated transverse measure to \mathcal{F} , which we denote by $\tilde{\mu}$. The set of all of these transverse measures equipped with the weak*-topology is known as the *space of current measures* of X and we denote it by \mathcal{C} . Each $\tilde{\mu} \in \mathcal{C}$ is normalized by the requirement that (locally) $\mu = \tilde{\mu} \times dt$, where dt is the one-dimensional Lebesgue measure along orbits in \mathcal{F} .

. Consider the foliations $\mathcal{F}_1 = p_1^{-1}(\mathcal{F})$ and $\mathcal{F}_2 = p_2^{-1}(\mathcal{F})$ of $T_1(X)$, for p_1 and p_2 as defined in Section 2.1. Furthermore, for $\tilde{\mu}, \tilde{\nu} \in \mathcal{C}$, define $\hat{\mu}_1 = p_1^{-1}(\tilde{\mu})$ and $\hat{\nu}_2 = p_2^{-1}(\tilde{\nu})$. Note that the new measures $\hat{\mu}_1$ and $\hat{\nu}_2$ are transverse to \mathcal{F}_1 and \mathcal{F}_2 , respectively. The *intersection form* of $\tilde{\mu}$ and $\tilde{\nu}$, denoted by $\iota(\tilde{\mu}, \tilde{\nu})$, is defined as the total mass of \mathcal{E} with respect to the product measure $\hat{\mu}_1 \times \hat{\nu}_2$, that is, $\iota(\tilde{\mu}, \tilde{\nu}) = \int_{\mathcal{E}} d(\hat{\mu}_1 \times \hat{\nu}_2) = (\hat{\mu}_1 \times \hat{\nu}_2)(\mathcal{E})$. In addition, for $\tilde{\mu} \in \mathcal{C}$ define $\ell(\tilde{\mu})$, by $\ell(\tilde{\mu}) = \iota(\tilde{\mu}, \tilde{\nu}_L)$.

. Now, for every $\gamma \in \mathcal{G}$ there exists a unique Φ -invariant measure μ^γ of total mass $l(\gamma)$, where $l(\gamma)$ is the length of the geodesic γ with respect to the hyperbolic metric of X . This measure μ^γ is supported on the orbit of γ . Let $\tilde{\mu}^\gamma$ denote the corresponding transverse measure to the orbit foliation \mathcal{F} . These type of current measures are simply finite sums of Dirac measures on the quotient of pairs of closed geodesics on \tilde{X} (the universal cover of X) consisting of lifts of γ and its $\pi_1(X)$ -images (see [2, §1]).

. By identifying the current measure $\tilde{\mu}^\gamma$ with the corresponding closed geodesic γ F. Bonahon showed the following properties of the Liouville current $\tilde{\nu}_L$ as well as the properties of the functions ι and ℓ which we will use later on this paper (see [3, Propositions 14 and 15] and [2, Proposition 4.5].)

Theorem 5 (Bonahon). *Consider the functions ι and ℓ as defined above. Then*

- (1) *The function ι is a continuous extension of the intersection number function on $\mathcal{G} \times \mathcal{G}$. In particular, $\iota(\tilde{\mu}^\alpha, \tilde{\mu}^\beta) = i(\alpha, \beta)$. The Liouville current satisfies $\iota(\tilde{\nu}_L) = \pi^2 |\chi(X)| = 2\pi^2(g-1)$.*
- (2) *The function ℓ is a continuous extension of the length function on \mathcal{G} . In particular, if γ is a closed geodesic of X then $\ell(\tilde{\mu}^\gamma) = l(\gamma)$ where $l(\gamma)$ is the length of γ . The Liouville current satisfies $\ell(\tilde{\nu}_L) = \pi^2 |\chi(X)| = 2\pi^2(g-1)$.*

3. PROOFS OF THE MAIN RESULTS

. The proofs of Theorems 1 and 3 are basically based on the large deviation results proven by Yuri Kifer in [6, Theorem 3.4] and [7, Theorem 2.1].

. For $t > 0$ and $\mathbf{v} \in T_1(X)$ consider the following measure $\zeta_{\mathbf{v}}^t := \int_0^t \delta_{\phi^s \mathbf{v}} ds$. In particular, $\zeta_{\mathbf{v}}^{l(\gamma_{\mathbf{v}})} = \zeta_{\gamma_{\mathbf{v}}}$, for $\gamma_{\mathbf{v}} \in \mathcal{G}$.

Theorem 6 (Kifer). *For any closed $K \subset \mathcal{P}$,*

$$\lim_{T \rightarrow \infty} \frac{1}{T} \log \nu_L(\{\mathbf{v} \in T_1(X) : \zeta_{\mathbf{v}}^T / T \in K\}) \leq -\inf\{1 - h(\nu) : \nu \in K\}.$$

. For $\mathbf{v} \in T_1(X)$, let $\gamma_{\mathbf{v}}$ be the geodesic such that $\mathbf{v} \in \gamma_{\mathbf{v}}$. And let $\mathbf{v}_{\gamma} = (x, v) \in T_1(X)$ be a vector of the geodesic γ , i.e., $\gamma(t) = x$ and $\dot{\gamma}(t) = v$, for some $t \in \mathbb{R}$.

Proof of Theorem 3. Consider the continuous function

$$\begin{aligned} \mathfrak{f} : \mathcal{P} &\rightarrow \mathbb{R} \\ \nu &\mapsto i(\tilde{\nu})/\ell(\tilde{\nu})^2. \end{aligned}$$

Let $\epsilon > 0$ and take $K := \{\nu \in \mathcal{P} : |\mathfrak{f}(\nu) - \mathfrak{f}(\nu_L)| \geq \epsilon\}$. Note that K is a closed set because of the continuity of \mathfrak{f} , hence, K is compact since \mathcal{P} is compact.

. By Theorem 6,

$$\lim_{T \rightarrow \infty} \frac{1}{T} \log \nu_L(\{\mathbf{v} \in T_1(X) : \zeta_{\mathbf{v}}^T/T \in K\}) \leq -\inf\{1 - h(\nu) : \nu \in K\}.$$

. Thus,

$$\begin{aligned} \nu_L(\{\mathbf{v} \in T_1(X) : |i(\gamma_{\mathbf{v}}^T)/T^2 - 1/(2\pi^2(g-1))| \geq \epsilon\}) \\ = \nu_L(\{\mathbf{v} \in T_1(X) : \zeta_{\mathbf{v}}^T/T \in K\}) = O(e^{-\eta T}), \end{aligned}$$

where $\eta := \inf\{1 - h(\nu) : \nu \in K\}$.

. Since ν_L is the unique measure with maximum entropy $h(\nu_L) = 1$ and $\nu_L \notin K$, we always have $\eta > 0$. \square

Proof of Corollary 1. Let $T, \epsilon > 0$. Consider the set

$$\mathcal{O}(T, \epsilon) := \{\gamma \in \mathcal{G}_T : |i(\gamma)/T^2 - 1/(2\pi^2(g-1))| \geq \epsilon\}.$$

Since $\mathcal{O}(T, \epsilon) = \mathcal{G}_T \setminus \{\gamma \in \mathcal{G}_T : |i(\gamma)/T^2 - 1/(2\pi^2(g-1))| < \epsilon\}$, it is enough to prove that

$$\lim_{T \rightarrow \infty} \frac{1}{N(T)} \#\mathcal{O}(T, \epsilon) = 0.$$

. Let $\varepsilon > 0$. By Theorem 5, there is $R_1 := R_1(\varepsilon)$ such that for every $T > R_1$,

$$\frac{1}{N(T)} \sum_{\gamma \in \mathcal{G}_T} \frac{\zeta_{\gamma}}{l(\gamma)} (\mathcal{O}(T, \epsilon)) < \nu_L(\mathcal{O}(T, \epsilon)) + \varepsilon/2.$$

. And, by Theorem 3, there exist $\eta := \eta(\epsilon)$, $C := C(\epsilon)$, $R_2 := R_2(\epsilon) > 0$ such that for $t \geq R_2$,

$$\nu_L(\mathcal{O}(t, \epsilon)) \leq Ce^{-\eta t} < \varepsilon/2.$$

. Thus, taking $R = \max\{R_1, R_2\}$, we get for $T > R$ that

$$\frac{1}{N(T)} \#\mathcal{O}(T, \epsilon) = \frac{1}{N(T)} \sum_{\gamma \in \mathcal{G}_T} \frac{\zeta_{\gamma}}{l(\gamma)} (\mathcal{O}(T, \epsilon)) \leq Ce^{-\eta T} + \varepsilon/2 < \varepsilon.$$

. Since ε was arbitrarily chosen, we conclude

$$\lim_{T \rightarrow \infty} \frac{1}{N(T)} \#\mathcal{O}(T, \epsilon) = 0$$

and the result of the corollary. \square

. Another deviation result by Y. Kifer given in [7, Theorem 2.1], similar to Theorem 6, states that the portion of “irregular” geodesics vanishes exponentially fast.

Theorem 7 (Kifer). *Let \mathcal{U} be an open neighborhood of ν_L in \mathcal{P} . Then, there exists $\eta > 0$ such that*

$$\frac{1}{N(t)} \#\{\gamma \in \mathcal{G}_t : \mu^\gamma/l(\gamma) \notin \mathcal{U}\} = O(e^{-\eta t}),$$

as $t \rightarrow \infty$. Moreover, $\eta = \inf_{\nu \in \mathcal{U}^c} \{1 - h(\nu)\}$.

. Theorems 5 and 7 imply our main result Theorem 1.

Proof of Theorem 1. Let $s, t, \epsilon > 0$ with $s \leq t$ and consider the following function

$$\begin{aligned} \mathfrak{h} : \mathcal{P} \times \mathcal{P} &\rightarrow \mathbb{R} \\ (\tilde{\mu}, \tilde{\nu}) &\mapsto i(\tilde{\mu}, \tilde{\nu})/(\ell(\tilde{\mu})\ell(\tilde{\nu})). \end{aligned}$$

. The function \mathfrak{h} is continuous because it is the quotient of two continuous functions, the intersection form function (by Theorem 5) and the function that assigns to a pair of measures the product of the lengths of their associated currents. As a result, the set $\mathcal{Z} = \mathfrak{h}^{-1}(1/(2\pi^2(g-1)) - \epsilon, 1/(2\pi^2(g-1)) + \epsilon)$, the preimage of the ball of radius ϵ centered at $\mathfrak{h}(\tilde{\nu}_L, \tilde{\nu}_L) = i(\tilde{\nu}_L)/\ell(\tilde{\nu}_L)^2 = 1/(2\pi^2(g-1))$, is an open subset of $\mathcal{P} \times \mathcal{P}$.

. Let $\mathcal{W}_{s,t} = \{(\alpha, \beta) \in \mathcal{G}_s \times \mathcal{G}_t : |(\widehat{\mu}_1^\alpha \times \widehat{\mu}_2^\beta)(\mathcal{E})/l(\alpha)l(\beta) - 1/(2\pi^2(g-1))| < \epsilon\}$.

. Note that $\mathcal{W}_{s,t} = \{(\alpha, \beta) \in \mathcal{G}_s \times \mathcal{G}_t : (\mu^\alpha/l(\alpha), \mu^\beta/l(\beta)) \in \mathcal{Z}\}$.

. Since \mathcal{Z} is an open set of the product topology of $\mathcal{P} \times \mathcal{P}$, there exist $\mathcal{U}, \mathcal{V} \subseteq \mathcal{P}$ open neighborhoods of ν_L such that $\mathcal{U} \times \mathcal{V} \subseteq \mathcal{Z}$.

. Consider the sets $\mathcal{U}_s := \{\gamma \in \mathcal{G}_s : \mu^\gamma/l(\gamma) \in \mathcal{U}\}$ and $\mathcal{V}_t := \{\gamma \in \mathcal{G}_t : \mu^\gamma/l(\gamma) \in \mathcal{V}\}$. Then, $\mathcal{U}_s \times \mathcal{V}_t \subseteq \mathcal{W}_{s,t}$.

. By Theorem 7, there exist $\eta_1 := \eta_1(\mathcal{U}), \eta_2 := \eta_2(\mathcal{V}), T_1, T_2, C_1, C_2 > 0$ such that for $s \geq T_1$ and $t \geq T_2$,

$$\frac{\#\mathcal{G}_s \setminus \mathcal{U}_s}{N(s)} \leq \frac{C_1}{e^{\eta_1 s}} \quad \text{and} \quad \frac{\#\mathcal{G}_t \setminus \mathcal{V}_t}{N(t)} \leq \frac{C_2}{e^{\eta_2 t}}.$$

. Thus, taking $T = \max\{T_1, T_2\}, C = C_1 + C_2 + C_1 C_2$ and $\eta = \min\{\eta_1, \eta_2\}$, we get

$$\begin{aligned} \frac{\#(\mathcal{G}_s \times \mathcal{G}_t) \setminus \mathcal{W}_{s,t}}{N(s)N(t)} &\leq \frac{\#(\mathcal{G}_s \times \mathcal{G}_t) \setminus (\mathcal{U}_s \times \mathcal{V}_t)}{N(s)N(t)} \\ &= \frac{\#\mathcal{G}_s \setminus \mathcal{U}_s \cdot \#\mathcal{G}_t \setminus \mathcal{V}_t}{N(s)N(t)} + \frac{\#\mathcal{G}_s \setminus \mathcal{U}_s \cdot \#\mathcal{V}_t}{N(s)N(t)} + \frac{\#\mathcal{G}_t \setminus \mathcal{V}_t \cdot \#\mathcal{U}_s}{N(s)N(t)} \\ &\leq \frac{C_1 C_2}{e^{\eta_1 s} e^{\eta_2 t}} + \frac{C_1}{e^{\eta_1 s}} + \frac{C_2}{e^{\eta_2 t}} \leq \frac{C}{e^{\eta t}}, \end{aligned}$$

whenever $T \leq s \leq t$. Hence, we conclude the result of the theorem. \square

. The other key point for the proof of Theorem 2 is to find an adequate bound for the intersection numbers of the pairs of closed geodesics of X . This was achieved by Basmajian in [1, Theorem 1.2]. Here we give a different bound with a different proof. This bound can also be deduced by Basmajian’s techniques.

Proposition 1 (Basmajian). *Let $\alpha, \beta \in \mathcal{G}$ and $\varepsilon_0 = \text{inj } X$, the injectivity radius of X . Then $i(\alpha, \beta) \leq 4l(\alpha)l(\beta)/\varepsilon_0^2$.*

Proof. Let $\alpha : [0, l(\alpha)] \rightarrow X$ and $\beta : [0, l(\beta)] \rightarrow X$ be closed geodesics of X . Let $\bar{\alpha}$ be a subarc of α of length less than $\varepsilon_0/2$ for which $i(\bar{\alpha}, \beta)$ is the largest. Hence, $i(\alpha, \beta) \leq ([l(\alpha)/(\varepsilon_0/2)] + 1)i(\bar{\alpha}, \beta)$. Let $\{x_1, x_2, \dots, x_n\}$ be the ordered set of points of intersection of $\bar{\alpha}$ and β , where $\beta^{-1}(x_i) \leq \beta^{-1}(x_{i+1})$, for $1 \leq i \leq n-1$, and $n = i(\bar{\alpha}, \beta)$. Let β_k be the subarc of β from x_k to x_{k+1} , for $1 \leq k \leq n-1$ and β_n the subarc of β joining x_1 and x_n which does not intersect $\bar{\alpha}$. Similarly, let $\bar{\alpha}_k$ be the subarc of α from x_k to x_{k+1} , for $1 \leq k \leq n-1$, and $\bar{\alpha}_n$ be the subarc joining x_1 and x_n not intersecting $\bar{\alpha}$. Consider γ_k the concatenation of $\bar{\alpha}_k$ and β_k , for $1 \leq k \leq n$. Thus, γ_k is an essential loop of X , for $1 \leq k \leq n$. Hence, $\varepsilon_0 < l(\gamma_k) = l(\bar{\alpha}_k) + l(\beta_k) \leq \varepsilon_0/2 + l(\beta_k)$, which implies $\varepsilon_0/2 \leq l(\beta_k)$, for $1 \leq k \leq n$. Consequently, $n < l(\beta)/(\varepsilon_0/2)$. Therefore,

$$i(\alpha, \beta) \leq \left(\left\lceil \frac{l(\alpha)}{\varepsilon_0/2} \right\rceil + 1 \right) i(\bar{\alpha}, \beta) \leq \frac{l(\alpha)}{\varepsilon_0/2} \frac{l(\beta)}{\varepsilon_0/2} = \frac{4l(\alpha)l(\beta)}{\varepsilon_0^2}.$$

□

. Theorem 2 is a straightforward result of the following result, whose proof is a simple computation which uses Theorem 1.

Theorem 8. $\frac{1}{N(s)N(t)} \sum_{(\alpha, \beta) \in \mathcal{G}_s \times \mathcal{G}_t} \frac{(\widehat{\mu}_1^\alpha \times \widehat{\mu}_2^\beta)(\mathcal{E})}{l(\alpha)l(\beta)} \sim \frac{1}{2\pi^2(g-1)} \text{ as } s, t \rightarrow \infty.$

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